Aid To Capacity Evaluation (ACE) – Administration

Name of patient: ________________________________      Date: _______________

Record observations that support your score in each domain, including exact responses of the patient. Indicate your score for each domain with a check mark.

1. Able to understand medical problem
   (Sample questions: What problem are you having now? What problem is bothering you most? Why are you in the hospital? Do you have (name problem)?)
   Observations: ______________________________________________

2. Able to understand proposed treatment
   (Sample questions: What is the treatment for [your problem]? What else can we do to help you? Can you have [proposed treatment]?)
   Observations: ______________________________________________

3. Able to understand alternative to proposed treatment (if any)
   (Sample questions: Are there any other [treatments]? What other options do you have? Can you have [alternative treatment]?)
   Observations: ______________________________________________

4. Able to understand option of refusing proposed treatment
   (including withholding or withdrawing proposed treatment)
   (Sample questions: Can you refuse [proposed treatment]? Can we stop [proposed treatment]?)
   Observations: ______________________________________________

5. Able to appreciate reasonably foreseeable consequences of accepting proposed treatment
   (Sample questions: What could happen to you if you have [proposed treatment]? Can [proposed treatment] cause problems/side effects? Can [proposed treatment] help you live longer?)
   Observations: ______________________________________________

6. Able to appreciate reasonably foreseeable consequences of refusing proposed treatment (including withholding or withdrawing proposed treatment)
   (Sample questions: What could happen to you if you don't have [proposed treatment]? Could you get sicker/die if you don't have [proposed treatment]? What could happen if you have [alternative treatment]?)
   Observations: ______________________________________________
(Note: for questions 7a and 7b, a "yes" answer means the person’s decision is affected by depression or psychosis)

7a. The person’s decision is affected by depression

(Sample questions: Can you help me understand why you’ve decided to accept/refuse treatment? Do you feel that you’re being punished? Do you think you’re a bad person? Do you have any hope for the future? Do you deserve to be treated? )

Observations: ____________________________________________
_________________________________________________________

☐ Yes  ☐ Unsure  ☐ No

7b. The person’s decision is affected by psychosis

(Sample questions: Can you help me understand why you’ve decided to accept/refuse treatment? Do you think anyone is trying to hurt/harm you? Do you trust your doctor/nurse? )

Observations: ____________________________________________
_________________________________________________________

☐ Yes  ☐ Unsure  ☐ No

Overall Impression

☐ Definitely capable  ☐ Probably capable  ☐ Probably incapable  ☐ Definitely incapable

Comments:
(for example: need for psychiatric assessment, further disclosure and discussion with patient or consultation with family)

____________________________________________________________________
____________________________________________________________________
____________________________________________________________________

The initial ACE assessment is the first step in the capacity assessment process. If the ACE is definitely or probably incapable, considerable treatable or reversible causes of incapacity. Repeat the capacity assessment once these factors have been addressed. If the ACE result is probably incapable or probably capable, then take further steps to clarify the situation. For example, if you are unsure about the person’s ability to understand the proposed treatment, then a further interview which specifically focuses on this area would be helpful. Similarly, consultation with family, cultural and religious figure and/or psychiatrist, may clarify some areas of uncertainty.

Never base a finding of incapacity solely on your interpretation of domain 7a and 7b. Even if you are sure that the decision is based on a delusion or depression, we suggest that you always get an independent assessment.

Time taken to administer ACE: _______ minutes

Date: ______________

Assessor: ___________________________________________
INSTRUCTIONS FOR SCORING

1. Domains 1-4 evaluate whether the person understands their current medical problem, the proposed treatment and other options (including withholding or withdrawing treatment). Domains 5-6 evaluate whether the person appreciates the consequences of their decision. (See sample questions above.)

2. For domains 1-6, if the person responds appropriately to open-ended questions, score YES. If they need repeated prompting by closed-ended questions, score UNSURE. If they cannot respond appropriately despite repeated prompting, score NO.

3. For domain 7, if the person appears depressed or psychotic, then decide if their decision is being affected by the depression or psychosis. For domain 7a, if the person appears depressed, determine if the decision is affected by depression. Look for the cognitive signs of depression such as hopelessness, worthlessness, guilt, and punishment. (See sample questions above.) For domain 7b, if the person may be psychotic, determine if the decision is affected by delusion/psychosis. (See sample questions above.)

4. Record observations which support your score in each domain, including exact responses of the patient.

5. Remember that people are presumed capable. Therefore, for your overall impression, if you are uncertain, then err on the side of calling a person capable.

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